

Image
2875

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Serial No.: 10/075,488 Examiner: Negron, Ismael

Filing Date: February 12, 2002 Group Art Unit: 2875

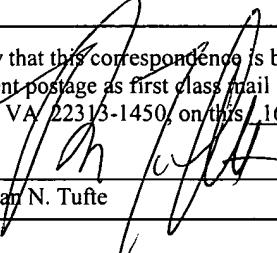
For: LIGHTING APPARATUS

Docket No.: 1076.1101107

TRANSMITTAL SHEET

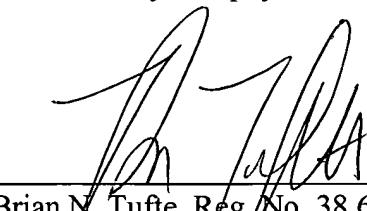
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 16th day of December, 2003.

By: 
Brian N. Tufte

We are transmitting herewith the attached:

- Information Disclosure Statement, Form PTO-1449 and 10 cited references.
- Certification for Information Disclosure Statement.
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.



Brian N. Tufte, Reg. No. 38,638
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CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(e)

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

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By: Brian N. Tufte

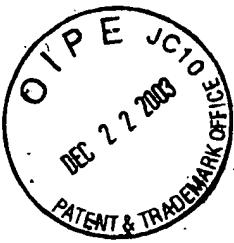
Dear Sir/Madam:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.
 2. I, the person(s) signing below certify:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

Dated: DECEMBER 16, 2003

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For: LIGHTING APPARATUS

Docket No.: 1076.1101107

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 16th day of December, 2003.

By: Brian N. Tufte

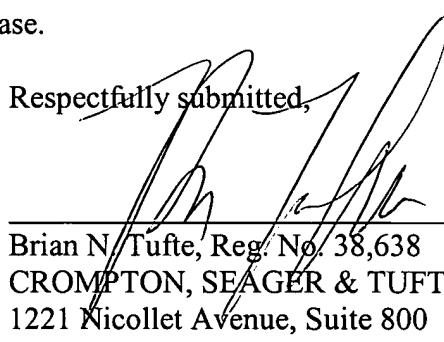
Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

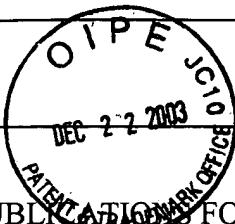
Respectfully submitted,

Dated: December 16, 2003



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FORM PTO-1449

Atty. Docket No.:
1076.1101107Serial No.:
10/075,488

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

Applicant: Brian N. Tufte

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| Filing Date: | Group Art: |
| February 12, 2002 | 2875 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|---------|--------------------|-------|-----------|----------------------------|
| . | 3,885,144 | 05/1975 | Lewis et al. | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Country | Translation Yes No |
|------------------|--------------|------|---------|--------------------------|
| | | | | |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER: | DATE CONSIDERED: |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.